

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

Applicant: Roger P. Jackson

Date: August 28, 2003

For: POLYAXIAL BONE SCREW WITH SPLIT RETAINER RING

* * * * *

Kansas City, Missouri

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

Applicant is aware of the following references listed on the attached PTO-1449, copies of which are enclosed:

U. S. Patents

6,302,888	10/01	Mellinger, et al.
6,287,308	09/01	Betz, et al.
6,287,311	09/01	Sherman, et al.
6,273,888	08/01	Justis
6,280,442	08/01	Barker, et al.
6,254,602	07/01	Justis
RE37,161	05/01	Michelson, et al.
6,224,596	05/01	Jackson

Express Mail EV196864079US

2001-0001119	05/01	Lombardo
6,187,005	02/01	Brace, et al.
6,146,383	11/00	Studer, et al.
6,132,432	10/00	Richelsoph
6,090,111	07/00	Nichols
6,077,262	06/20/00	Schlapfer et al.
6,074,391	06/13/00	Metz-Stavenhagen et al.
6,063,090	05/16/00	Schlapfer
6,019,759	02/01/00	Rogozinski
5,879,350	03/09/99	Sherman et al.
5,817,094	10/98	Errico, et al.
5,800,435	09/98	Errico, et al.
5,733,286	03/31/98	Errico, et al.
5,725,528	3/10/98	Errico, et al.
5,672,176	09/97	Biedermann, et al.
5,601,553	02/11/97	Trebing et al.
5,669,911	09/23/97	Errico et al.
5,591,166	01/07/97	Bernhardt, et al.
5,476,464	12/19/95	Metz-Stevenhagen et al.

5,443,467	08/22/95	Biedermann et al.
5,429,639	07/95	Judet

5,466,237	11/14/95	Byrd, III et al.
5,312,404	05/17/94	Asher, et al.

Foreign Patents

DE 195 07 141 A 1	09/12/96	Germany
G 92 02 745.8	04/30/92	Germany
EP 1 121 902 A2	08/08/01	EPO

Other Documents

EBI Omega 21 Brochure, EBI Spine Systems, pub. 1999

Claris Instrumentation Brochure, G Med, pub. 1997

VLS System Variable Locking Screw Brochure, Interpore Cross International, 1999

CD Horizon M8 Multi Axial Screw Spinal System Brochure, Medtronic Sofamor Danek, no publish date

Contour Spinal System Brochure, Ortho Development, no publish date

Xia Spinal System Brochure, Stryker Howmedica Osteonics, no

publish date

The Rod Plate System Brochure, Stryker Howmedica Osteonics, pub. Oct., 1999

Silhouette Spinal Fixation System Brochure, Sulzer Medica Spine-Tech, no publish date

SDRS Surgical Dynamics Rod System Brochure, Surgical Dynamics, pub. 1998-99

Versalok Low Back Fixation System Brochure, Wright Medical Technology, Inc., pub. 1997

The Strength of Innovation Advertisement, Blackstone Medical Inc., no publish date

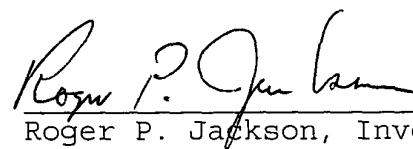
The Moss Miami 6.0mm System Advertisement, author unknown, no publish date

The above-listed references are, in the belief and opinion of the undersigned, the closest art of which the applicant is aware as of the date of execution of the Declaration in the above-captioned application.

Respectfully submitted,

8-27-03

Date



Roger P. Jackson, Inventor

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Substitute for form 1449/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Application Number	
				Filing Date	
				First Named Inventor	Roger P. Jackson
				Art Unit	
				Examiner Name	
Sheet	4	of	4	Attorney Docket Number	10,126

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue numbers(s), publisher, city and/or country where published.	T ²
		<i>EBI Omega 21 Brochure, EBI Spine Systems, pub. 1999</i>	
		<i>Claris Instrumentation Brochure, G Med, pub. 1997</i>	
		<i>VLS System Variable Locking Screw Brochure, Interpore Cross International, 1999</i>	
		<i>CD Horizon M8 Multi Axial Screw Spinal System Brochure, Medtronic Sofamor Danek, no publish date</i>	
		<i>Contour Spinal System Brochure, Ortho Development, no publish date</i>	
		<i>Xia Spinal System Brochure, Stryker Howmedica Osteonics, no publish date</i>	
		<i>The Rod Plate System Brochure, Stryker Howmedica Osteonics, pub. Oct., 1999</i>	
		<i>Silhouette Spinal Fixation System Brochure, Sulzer Medica Spine-Tech, no publish date</i>	
		<i>SDRS Surgical Dynamics Rod System Brochure, Surgical Dynamics, pub. 1998-99</i>	
		<i>Versalok Low Back Fixation System Brochure, Wright Medical Technology, Inc., pub. 1997</i>	
		<i>The Strength of Innovation Advertisement, Blackstone Medical Inc., no publish date</i>	
		<i>The Moss Miami 6.0mm System Advertisement, author unknown, no publish date</i>	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.
This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

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U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US-6,302,888	10/01	Mellinger, et al.	
		US-6,287,308	09/01	Betz, et al.	
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		US-2001-0001119	05/01	Lombardo	
		US-6,187,005	02/01	Brace, et al.	
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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		DE19507141A1	09/12/96	Germany		
		G9202745.8	04/30/92	Germany		
		EP1121902A2	08/08/01	EPO		

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		6,077,262	06/20/00	Schlapfer, et al.	
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		5,733,286	03/31/98	Errico, et al.	
		5,725,528	03/10/98	Errico, et al.	
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